Preparing, Submitting & Tracking Multi-project Applications Using





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ASSIST is NIH's online system for the preparation, submission & tracking of grant applications through Grants.gov to NIH



- Secure, online data entry
- Collaboration of multiple users
- Pre-submission validation of many NIH and Grants.gov business rules
- Pre-population of data from eRA Commons profiles
- Pre-submission print/preview of application in NIH format
- Submission status tracking for both Grants.gov and eRA Commons within a single system
- Ability to copy application to another opportunity

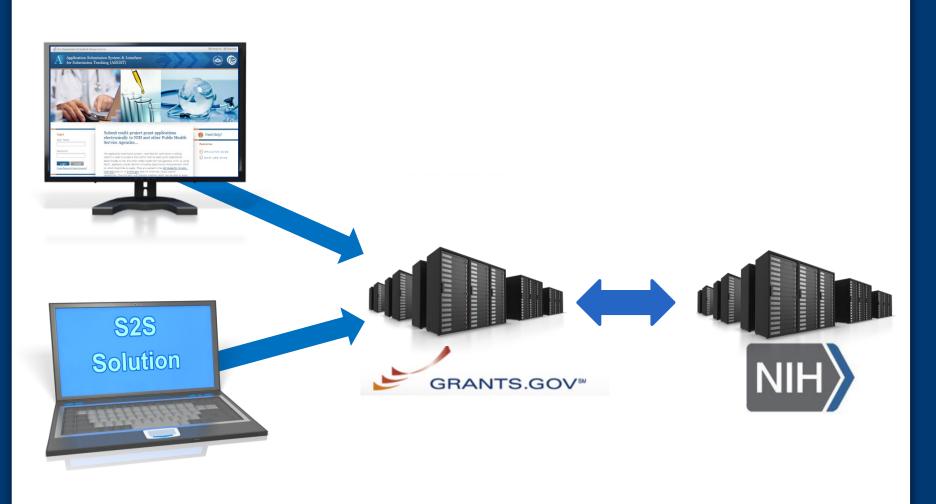


 NIH requires electronic submission for all multi-project applications

- Electronic submission options
 - ASSIST
 - Institutional system-to-system (S2S) solutions

Electronic submission is required. Using ASSIST is optional.

Submission Options





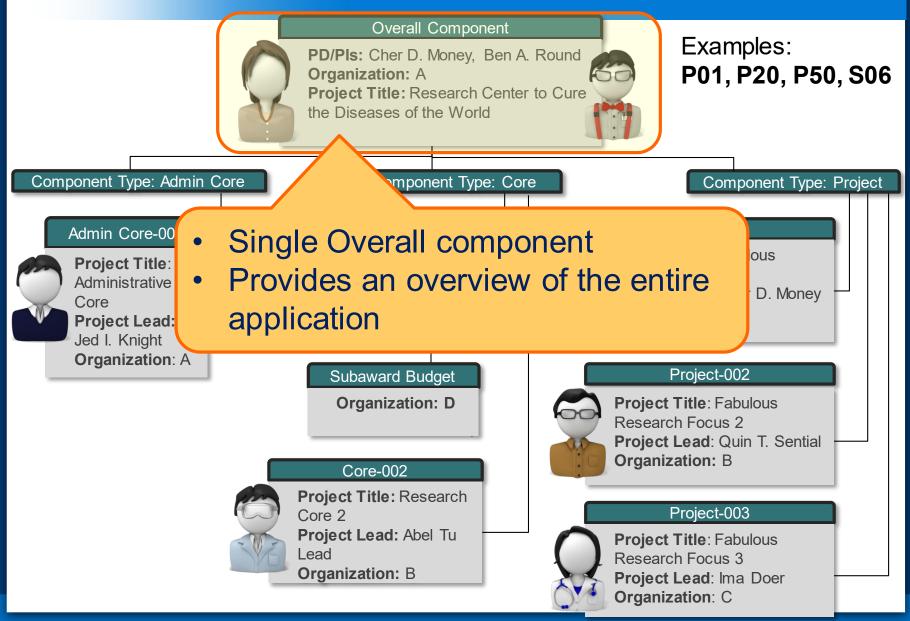
Before jumping into ASSIST, let's take some time to get acclimated... All electronic multi-project applications include:

- A single Overall component
- Some number of additional components
- Automatically prepared data summaries

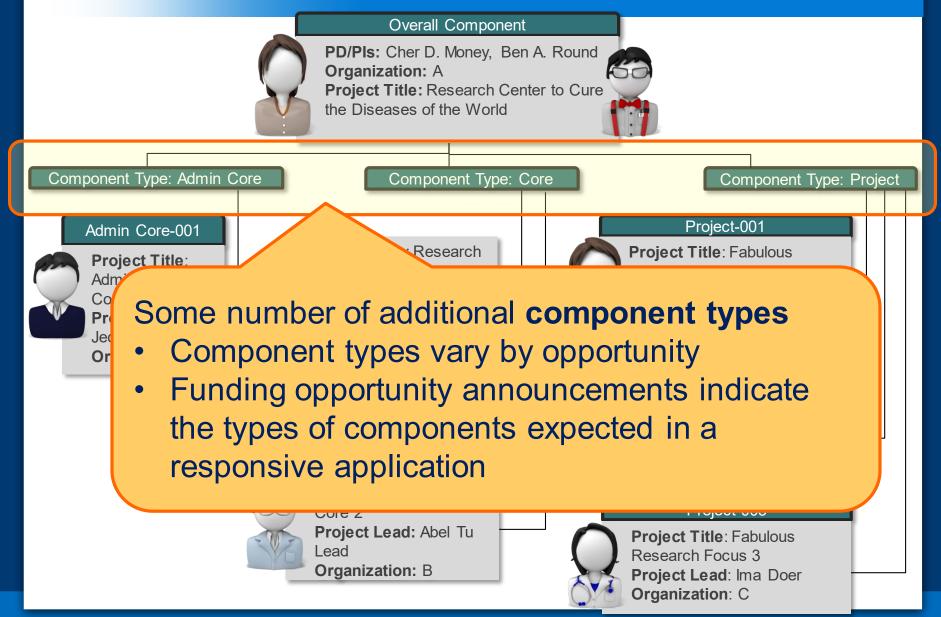




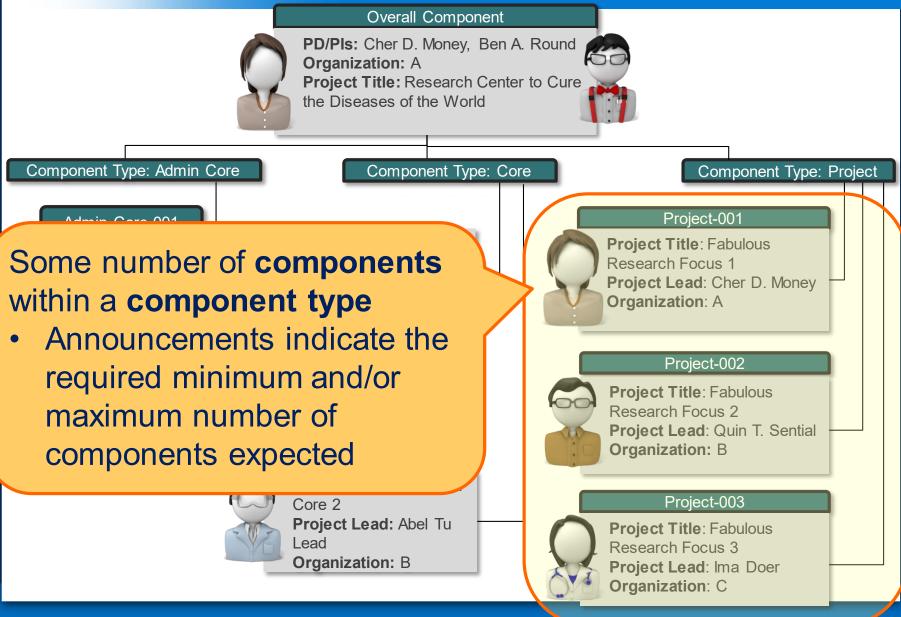
Multi-Project Application: Overall Component



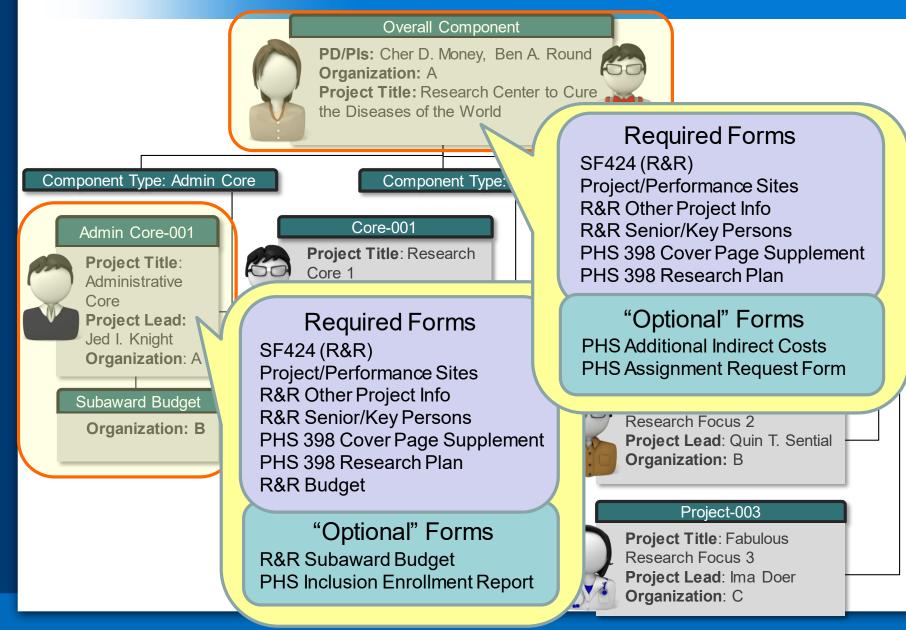
Multi-Project Application: Component Types



Multi-Project Application: Components



Multi-project Application Forms



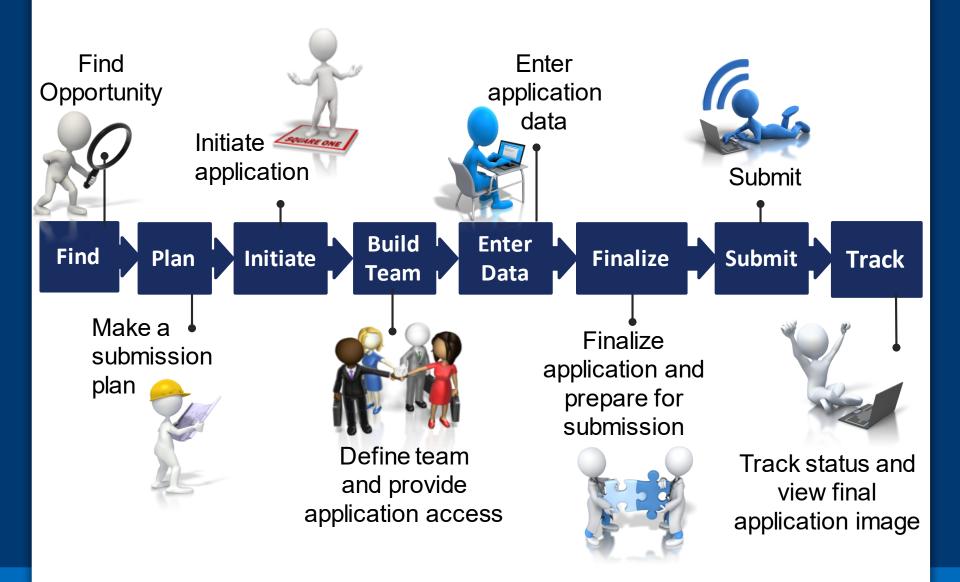
Understand how your application image will be assembled by NIH for funding consideration

- The Overall component is presented first
 - Including system-generated data summaries
- Additional component types are presented in alphabetical order (e.g., <u>Cores before Projects</u>)
 - Components of the same type are grouped together
 - Components are identified by type and sequential number (e.g., Core-001, Core-002)

Check out this resource:

http://grants.nih.gov/grants/ElectronicReceipt/files/multiproject application image.pdf

Overview of Process



Find Opportunity

Enter

Data

Finalize

Build

Team

Find

Plan

Initiate

Track

Submit

Find Multi-project FOAs in...





Multi-project FOAs

Section IV. Application and Submission Information of NIH FOAs includes important guidance for preparing your application in ASSIST

Section IV. Application and Submission Information

1. Requesting an Application Package

Applicants can access the SF424 (R&R) application package associated with this funding opportunity using the "Apply for Grant Electronically" button in this FOA or following the directions provided at <u>Grants.gov</u>.

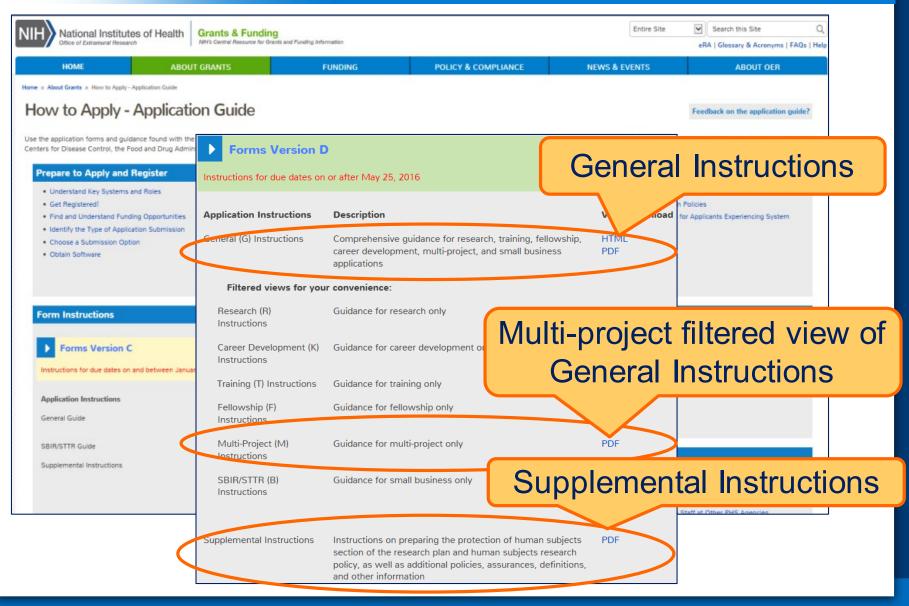
Most applicants will use NIH's ASSIST system to prepare and submit applications through Grants.gov to NIH. Applications prepared and submitted using applicant systems capable of submitting electronic multi-project applications to Grants.gov will also be accepted.

2. Content and Form of Application Submission

It is critical that applicants follow the instructions in the <u>SF424 (R&R) Application Guide</u>, except where instructed in this funding opportunity announcement to do otherwise and where instructions in the Application Guide are directly related to the Grants.gov downloadable forms currently used with most NIH opportunities and strictly enforced. Applications that are out of compliance to the requirements in the Application Guide is required and strictly enforced. Applications that are out of compliance to the requirements in the Application Guide is required and strictly enforced.

For information on Application Submission Grant Applications. The *SF424 (R&R) Application Guide* provides general instructions for completing application forms

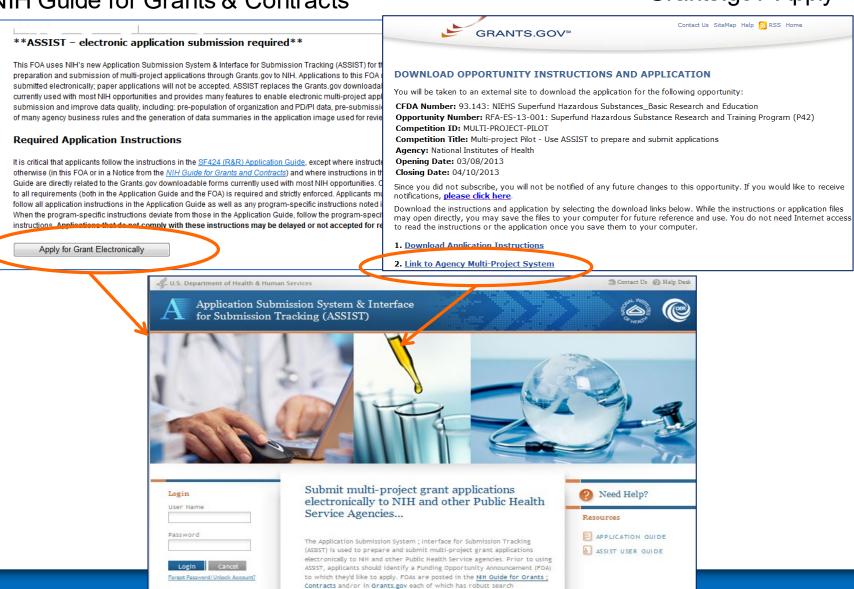
Application Guide



FOAs Link You to ASSIST

NIH Guide for Grants & Contracts

Grants.gov 'Apply'



lities. The FOA text will indicate whether ASSIST can be used to ap



Multiple Organization Registrations Required

Allow a minimum of **6 weeks** to complete all registrations!



http://grants.nih.gov/grants/how-to-apply-application-guide/prepare-to-apply-and-register/registration/org-representative-registration.htm

DUNS

A **free** nine-digit identification number issued by Dun & Bradstreet (D&B) is used to uniquely identify your business/ institution

- Dun & Bradstreet Data Universal Numbering System (DUNS)
 Begin the process at:
 - <u>http://fedgov.dnb.com/webform</u>
- After obtaining your DUNS, you can begin other registrations
 System for Award Management (SAM)
 - o eRACommons
 - o SBA Company



http://fedgov.dnb.com/webform Telephone Number: 866-705-5711 System for Award Management (SAM)

Register your entity (i.e., organization) to do business with the Federal Government



• SAM registration and E-Biz POC needed for Grants.gov registration

Annual SAM renewal needed to keep Grants.gov credentials active

www.sam.gov

Telephone Numbers:

US Calls: 866-606-8220

International Calls: 334-206-7828

DSN: 866-606-8220



Grants.gov

Federal-wide portal to find and apply for Federal grant funding

- Used by all 26 Federal grant-making agencies
- Prior to registering in Grants.gov you must obtain a DUNS number and register in SAM
- E-Biz POC approves Authorized Organization Representatives (AORs) to submit applications
- No registration needed to find opportunities or download forms

GRANTS.GOV

Telephone Numbers: US Calls: 1-800-518-4726 International Calls: 606-545-5035

eRA Commons

Agency system that allows applicants, grantees and Federal staff to share application/grant information



- Used by NIH and a few other agencies
- Designate a Signing Official (SO)
 - Registers or affiliates Project Directors/Principal Investigators (PD/PIs) and other users
- NIH 2-week "good faith effort" for eRA Commons registration



Commons A program of the National Institutes of Health

Support: http://grants.nih.gov/support/index.html

eRA Commons Organization Registration

• Already registered?

- Check with your Administrative Office before starting the process
- Quick Query: https://public.era.nih.gov/chl/public/search/commonsRegisteredOrgs.era

New registrations

- Institution Registration Form: <u>https://public.era.nih.gov/commons/public/registration/registrationInstructions.jsp</u>
- Carefully follow ALL steps
 - Must respond to email verification messages



Individual eRA Commons Registrations

26



Who needs an eRA Commons account?

- At least one Signing Official (SO)
- Project Director/Principal Investigator (PD/PI) and any multiple-PD/PIs
- Component leads on a multi-project application
 Anyone doing data entry in ASSIST

• If awarded, additional individuals may need accounts for reporting purposes

http://grants.nih.gov/grants/how-to-apply-application-guide/prepare-to-apply-and-register/registration/investigators-and-other-users/eracommons-user-registration.htm

- Decide how to distribute the work
 - Who will be doing the data entry?
 - Who will be responsible for ensuring the application moves through the process?
 - Who will ensure appropriate folks have access to the application?
 - Gather the Commons IDs for everyone who will be working on your application in ASSIST
- Carefully read the funding opportunity announcement
 - Note the allowable types of required/optional components and any special instructions
 - Define the layout of your application (e.g., define components and who will lead each)



PAR-16-297

Excerpt from FOA (section IV)

Page Limitations

Component Types Available in ASSIST	Research Strategy/Program Plan Page Limits
Overall	6 pages
Admin Core	6 pages
Career Enhancement (use for Faculty/Researcher Enhancement Project and Student Career Enhancement Project)	6 pages per project
Project (use for Research Projects and Pilot Projects)	6 pages per project
Capacity Building (use for Capacity Building Project)	6 pages per project

Additional page limits described in the SF424 Application Guide and the Table of Page Limits must be followed.

Instructions for the Submission of Multi-Component Applications

The following section supplements the instructions found in the SF424 (R&R) Application Guide, and should be used for preparing a multi-component application.

The application should consist of the following components:

- · Overall: required
- · Administrative Core: required, maximum of 1
- · Faculty/Researcher Career Enhancement Project: optional
- Student Career Enhancement Project: optional
- · Capacity Building Project: optional
- Research Project: optional; maximum = 5
- Pilot Project: optional; maximum = 5

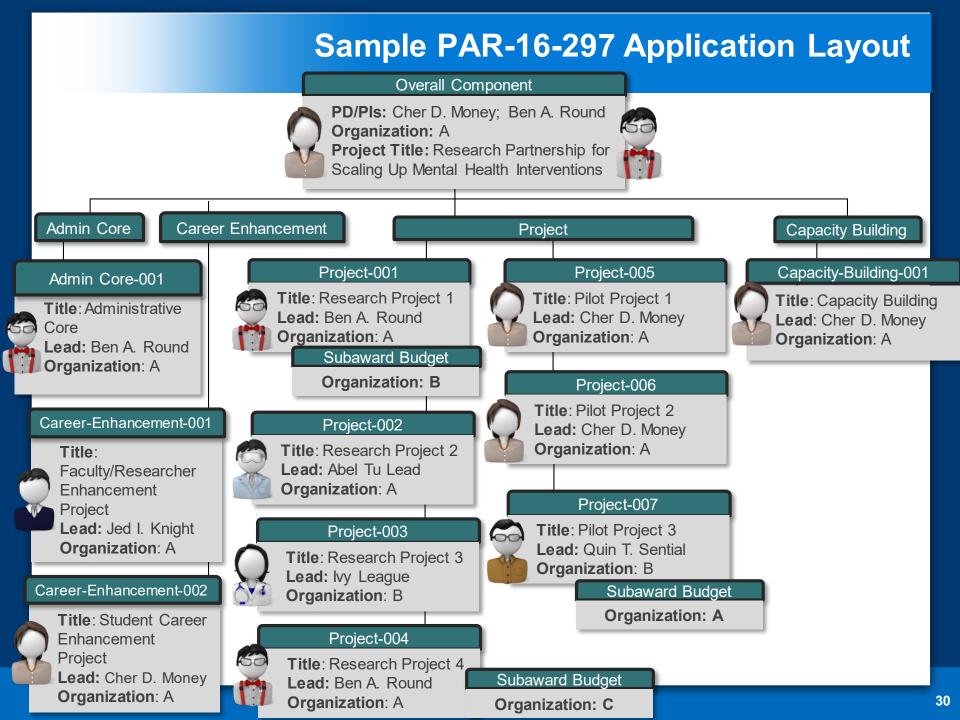


National Institutes of Health

Think about the components you plan to include

- PD/PI(s) for entire application
- Organization lead for each component
- Project lead for each component
- Project Title for application/components
- Start/End dates for application/components





Initiate Your Application

Enter

Data

Finalize

Submit

SQUARE ONE

Initiate

Find

Plan

Build

Team

Track

Create an application shell by initiating the application and adding the components

- Components can be rearranged, added, deleted or abandoned at any time
 - Applicants can rearrange components of the same type (e.g., have the third project entered in ASSIST appear first in the assembled application image)
 - Applicants cannot control the order in which the component types appear (e.g., Cores will always be before Projects)





https://public.era.nih.gov/assist

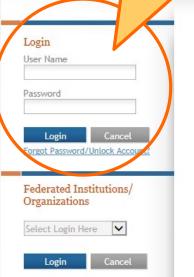
Log In to ASSIST

U.S. Department of Health & Human Services

🕐 Help Desk 🛛 🕤 Contact Us

Application Submission System & Interface for Submission Tracking (ASSIST) Sponsored by the National Institutes of Health

Use your eRA Commons credentials to access ASSIST



Submit multi-project grant applications electronically to NIH and other Public Health Service Agencies...

The Application Submission System & Interface for Submission Tracking (ASSIST) is used to prepare and submit multi-project grant applications electronically to NIH and other Public Health Service agencies. Prior to using ASSIST, applicants should identify a Funding Opportunity Announcement (FOA) to which they'd like to apply. FOAs are posted in the <u>NIH Guide for Grants & Contracts</u> and/or in <u>Grants.gov</u> each of which has robust search capabilities. The FOA text will indicate whether ASSIST can be used to apply to that opportunity. You will need the FOA number (e.g., PA-15-987) to initiate an application.

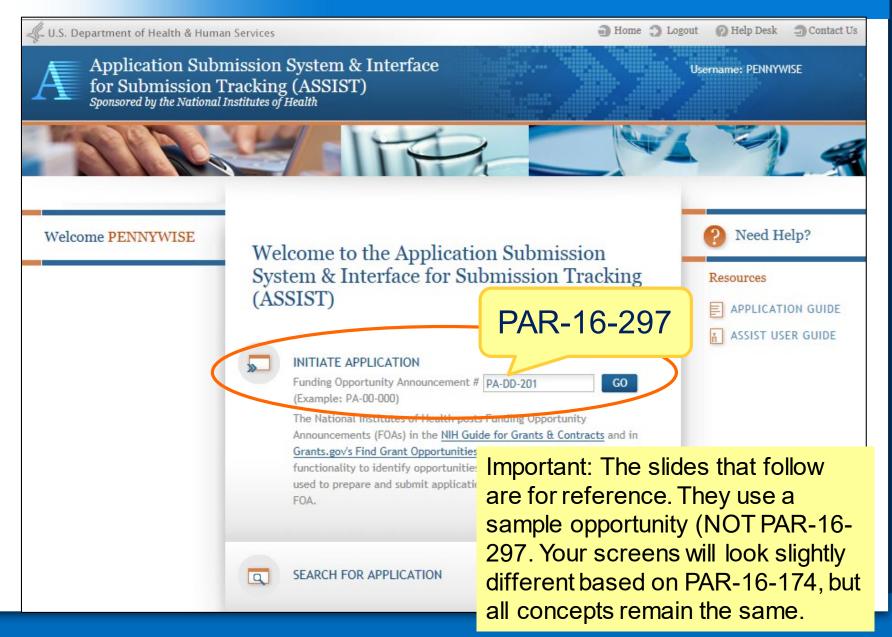
Active Grants.gov and eRA Commons credentials are required to prepare and submit applications using ASSIST.

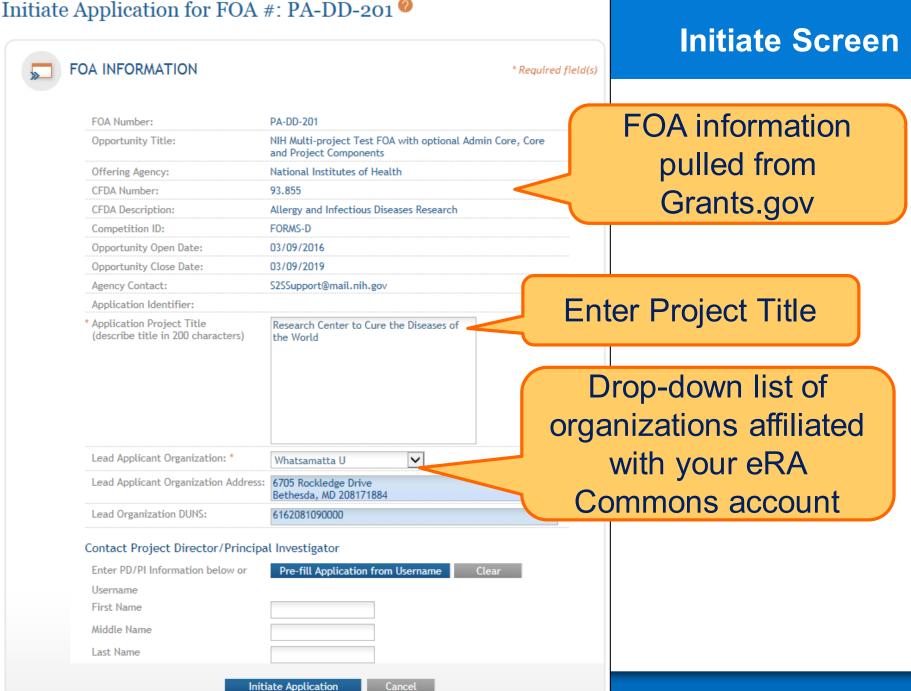
Need Help?

Resources

APPLICATION GUIDE

Initiate Application



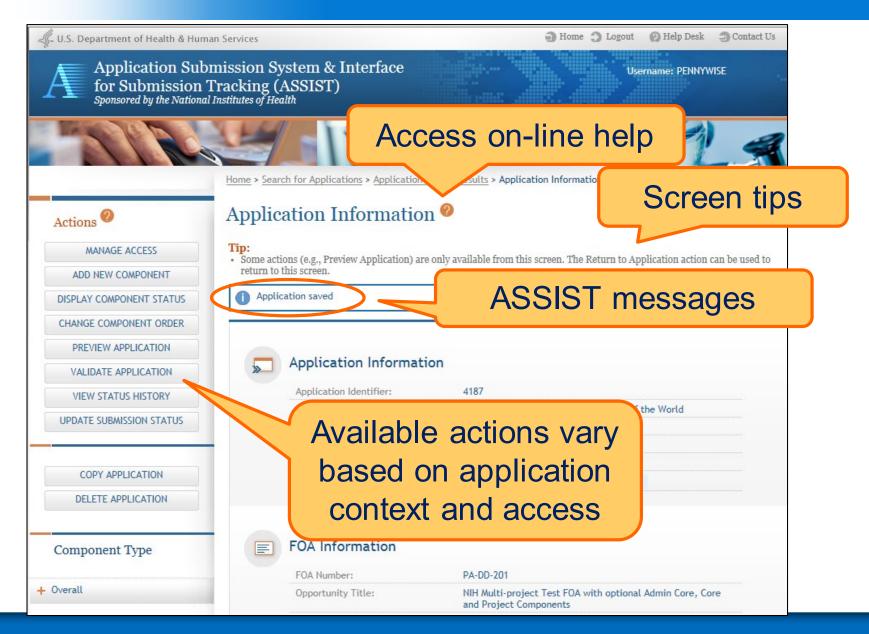


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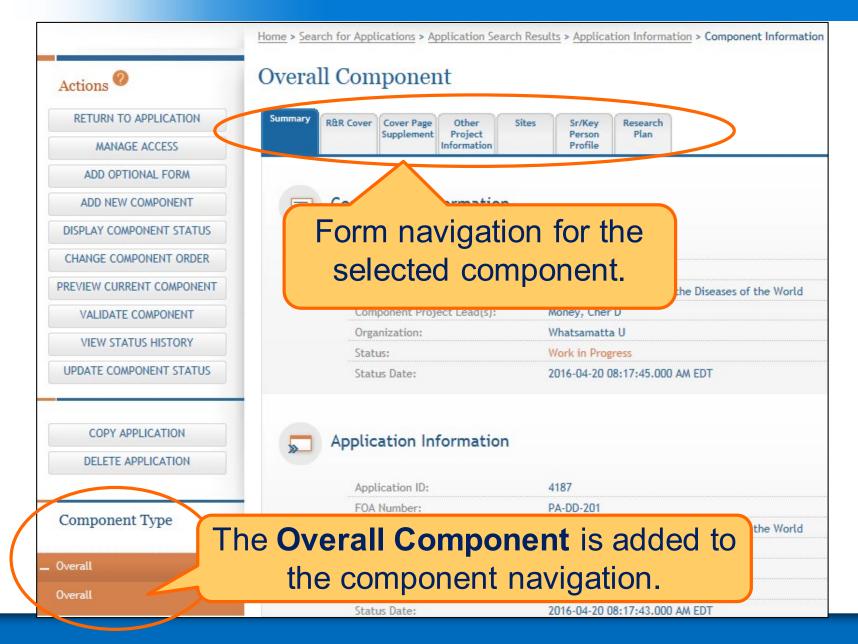
Initiate: Pre-population

* Application Project Title (describe title in 200 characters)	Research Center to Cure t World	the Diseases of the	1	
				Data pre-populated
Lead Applicant Organization: *	Whatsamatta U	T		from organization
Lead Applicant Organization Address:	6705 Rockledge Drive Bethesda, MD 208171884			selection
Lead Organization DUNS:	6162081090000			
Contact Project Director/Princip Enter PD/PI Information below or First Name Pre-fill username Please enter username	Pre-fill Application from Us		i eR	In manually enter PD/PI nformation or provide A Commons username to auto-populate
	Спетриюнсу	Enter PD/PI Informa		
Submit	Cancel	Username		CherDMoney
		First Name		Cher
		Middle Name		D
		Last Name		Money
				Initiate Application Cancel

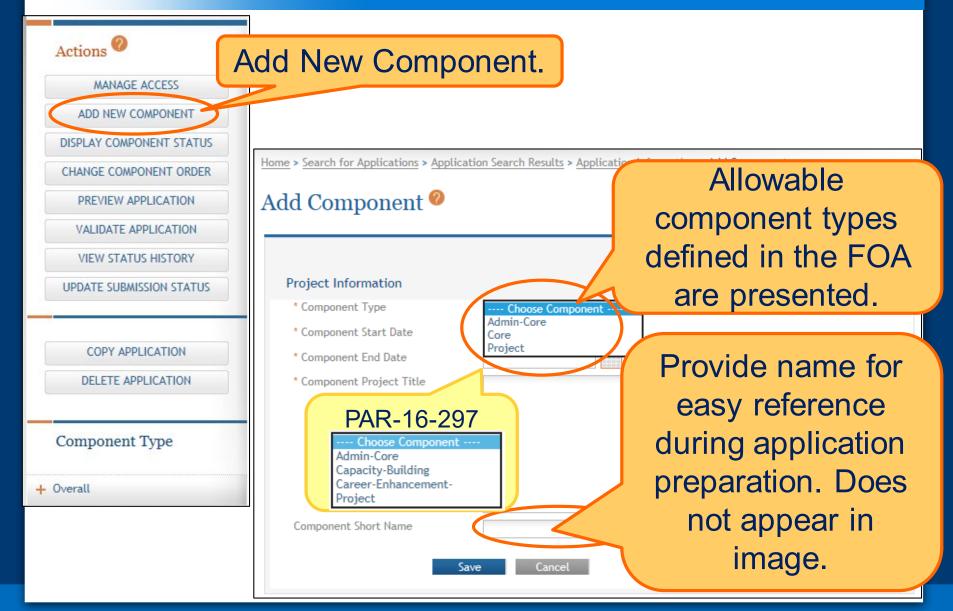
Using ASSIST



Overall Component



Adding Additional Components



Adding Components

Actions 🥝		
MANAGE ACCESS	• Some actions (e.g., Preview Applicat	on) are only available from this screen. The Return to Application action can be u
ADD NEW COMPONENT	return to this screen.	**
DISPLAY COMPONENT STATUS		
CHANGE COMPONENT ORDER	Application Info	mation
PREVIEW APPLICATION	Application Identifier:	4179
VALIDATE APPLICATION	Application Project Tit	
VIEW STATUS HISTORY	PD/PI Name:	Money, Cher D Round, Ben A
UPDATE SUBMISSION STATUS	Organization:	Whatsamatta U
	Project Period:	01/01/2017 - 12/31/2021
	Status:	Work in Progress Submit Application
COPY APPLICATION	Status Date:	2016-04-20 08:06:03.000 AM EDT
DELETE APPLICATION		
Component Type	FOA Number: Opportunity Title:	PA-DD-201 NIH Multi-project Test FOA with optional Admin Core, Core
		and Project Components
verall		
	Agency:	National Institutes of Health
	CFDA Number:	National Institutes of Health 93.855
verall	CFDA Number: Competition ID:	National Institutes of Health 93.855 FORMS-D
verall dmin-Core	CFDA Number: Competition ID: Opportunity Open Date	National Institutes of Health 93.855 FORMS-D 03/09/2016
verall dmin-Core 34-Admin-Core	CFDA Number: Competition ID: Opportunity Open Date Opportunity Close Date	National Institutes of Health 93.855 FORMS-D 03/09/2016 03/09/2019
Iverall dmin-Core 34-Admin-Core Admin Core) ore	CFDA Number: Competition ID: Opportunity Open Date	National Institutes of Health 93.855 FORMS-D 03/09/2016
verall dmin-Core 34-Admin-Core admin Core) ore 13-Core	CFDA Number: Competition ID: Opportunity Open Date Opportunity Close Date	National Institutes of Health 93.855 FORMS-D 03/09/2016 03/09/2019
verall dmin-Core 34-Admin-Core Admin Core) ore 13-Core Res Core - Around)	CFDA Number: Competition ID: Opportunity Open Date Opportunity Close Date Agency Contact:	National Institutes of Health 93.855 FORMS-D 03/09/2016 03/09/2019

969-Project (Focus 2 - Sential

Define Your Team and Provide Application Access

Enter

Data

Finalize

Submit

Build

Team

Find

Plan

Initiate

Track

ASSIST automatically provides access to applications for some users:

- Based on eRA Commons roles
 - All SOs and AOs at the applicant institution have irrevocable edit access for the entire application
 - All SOs and AOs at an organization leading a component have irrevocable edit access for their component
- Based on role on the application
 - The application initiator has edit access for the entire application
 - All PD/PIs listed in the Overall component have edit access for the entire application once their eRA Commons IDs are provided
 - The component Project Leads have edit access for their components

 Application access can be given to additional users with Commons IDs

- Within or outside applicant organization

- Application access can be controlled across these variables:
 - Entire application vs. specific components
 - View vs. Edit
 - Budget vs. Non-budget data



SOs at the applicant institution can

- Manage application access for other users
- Manage application status all the way to Ready for Submission status
- Delegate Access Maintainer and Status Maintainer authority to other users within their institution



- Access the Submit action



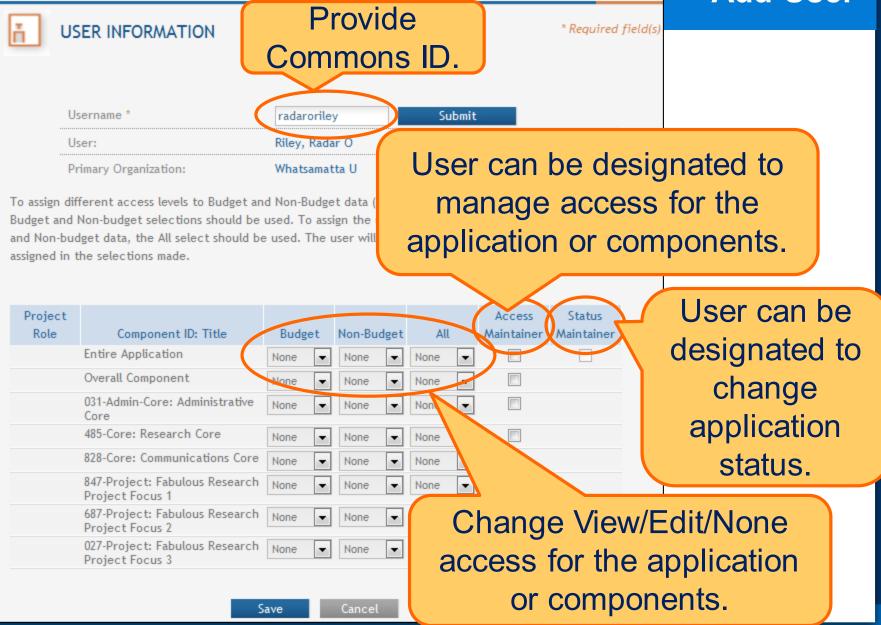
Manage Access

The Manage Access action can be used to provide access to additional users or modify access for existing users.

Actions 2	User Ac	cess Summ	ary 0						
MANAGE ACCESS	View Access H	listory							
ADD NEW COMPONENT	Click on the l	lser name to add acc	cess to other	components for the u	ser.		1 - 10 of	11 records, F	Page 1 of 2
DISPLAY COMPONENT STATUS								H 1	2) N
CHANGE COMPONENT ORDER	User 🗘	Primary Organization	♦ Project Role	Component ID: Title [‡]	Budget	Non-Budg	et All	♦ Access Maint ♦	Status Maint ≑
PREVIEW APPLICATION	ABELTULEAD	Whatsamatta U	Project Lead	828-Core: Communications Core	None	None	Edit	Ν	Ν
VALIDATE APPLICATION	BENAROUND	Whatsamatta U	PD/PI	Entire Application	None	None	Edit	N	Ν
VALIDATE APPLICATION	BENAROUND	Whatsamatta U	Project Lead	485-Core: Research Core	None	None	Edit	Ν	Ν
VIEW STATUS HISTORY	CHERDMONE	Whatsamatta U	PD/PI	Entire Application	None	None	Edit	Ν	Υ
UPDATE SUBMISSION STATUS	$\frac{\underline{T}}{\underline{CHERDMONE}}$	Whatsamatta U	Project Lead	847-Project: Fabulous Research Project Focus 1	None	None	Edit	Ν	Ν
	IMADOER	Vhatsamatta U		Entire Application	None	None	View	Ν	Ν
Modify access	IMADOER	Whatsamatta U	Project Lead	027-Project: Fabulous Research Project Focus 3	None	None	Edit	Ν	Ν
Moully access	JEDIKNIGHT	Whatsamatta U		Entire Application	View	Edit	None	Ν	Ν
for existing	JEDIKNIGHT	Whatsamatta U	Project Lead	031-Admin-Core: Administrative Core	None		E 111		
user.	<u>QUINTSENTI</u> <u>AL</u>	Whatsamatta U	Project Lead	687-Project: Fabulous Research Project Focus 2				cess	
			(Add User		Idalt	IONE	al use	ers.

Add New User 🥝

Add User



Enter Application Data

Enter

Data

Finalize

Build

Team

Find

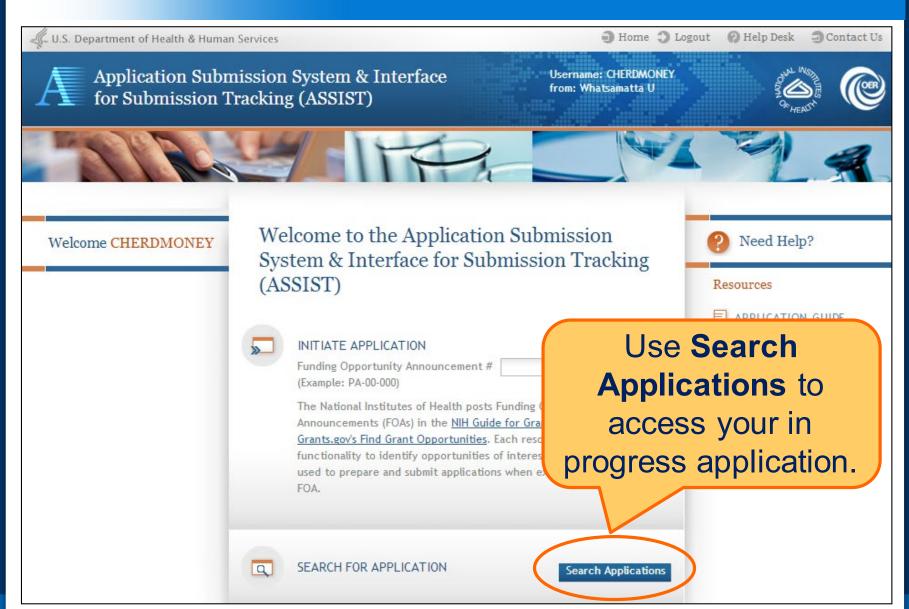
Plan

Initiate

Track

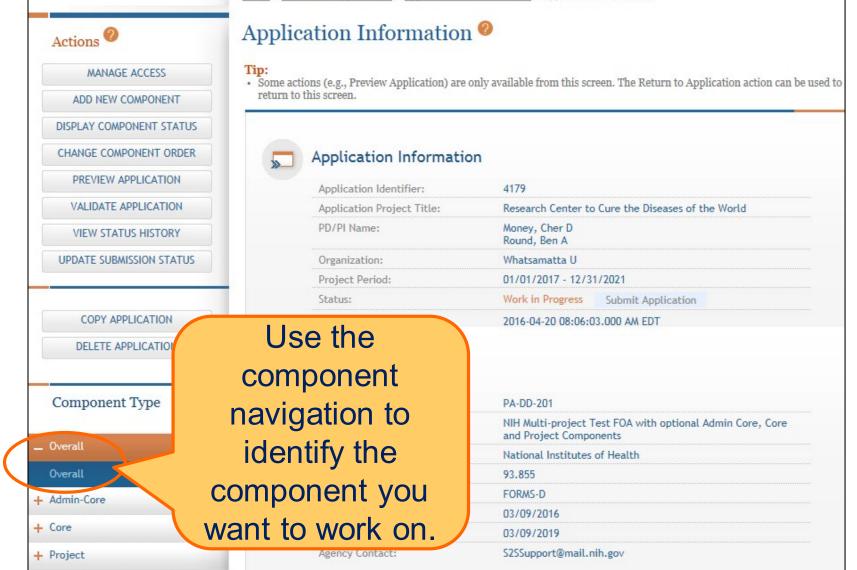
Submit

Searching for In-progress Applications



Navigating to a Specific Component

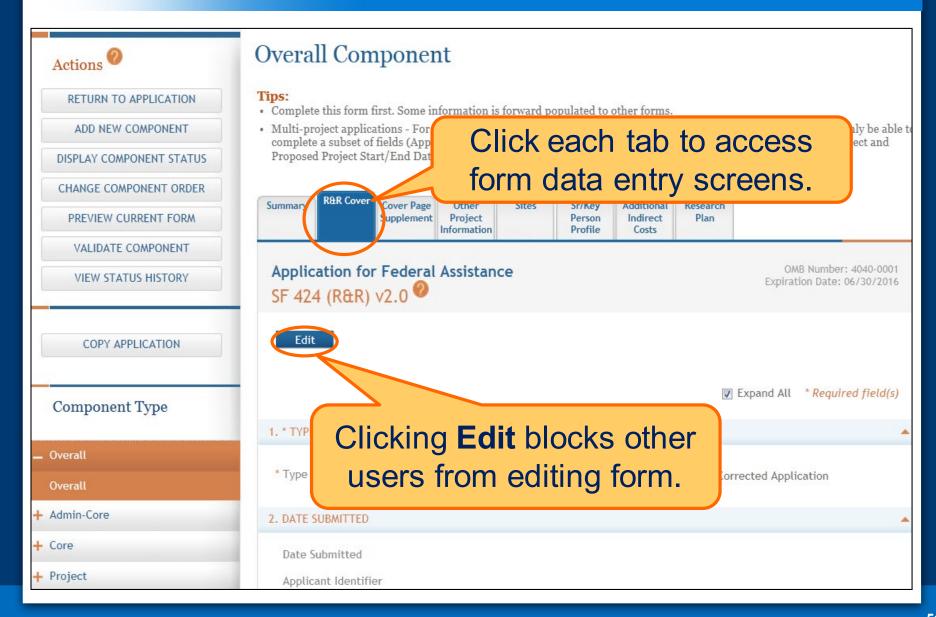
Home > Search for Applications > Application Search Results > Application Information



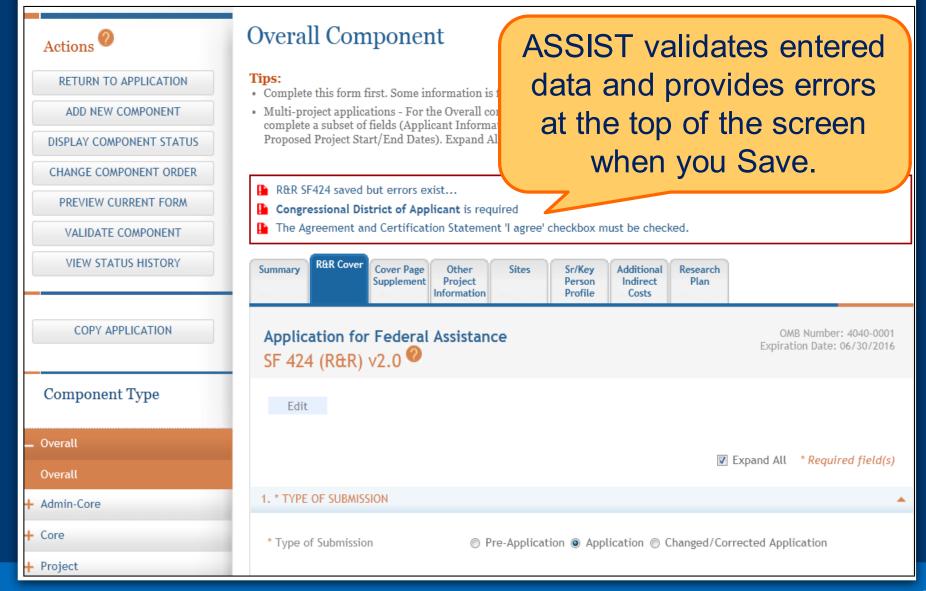
Summary Page

ACTIONS RETURN TO APPLICATION MANAGE ACCESS ADD OPTIONAL FORM ADD NEW COMPONENT		nponent has nary page.
DISPLAY COMPONENT STATUS	Component Identifier:	Overall
CHANGE COMPONENT ORDER	Component Type:	Overall
PREVIEW CURRENT COMPONENT	Component Title:	Research Center to Cure the Diseases of the World
VALIDATE COMPONENT	Component Project Lead(s):	Money, Cher D Round, Ben A
VIEW STATUS HISTORY	Organization:	Whatsamatta U
UPDATE COMPONENT STATUS COPY APPLICATION DELETE APPLICATION	Some actions are available from t Summary page	he
	Application ID:	4179
Component Type	FOA Number:	PA-DD-201
	Project Title:	Research Center to Cure the Diseases of the World
_ Overall	PD/PI Name:	Money, Cher D Round, Ben A
Overall	Organization:	Whatsamatta U
Admin-Core	Status:	Work in Progress
	Status Date:	2016-04-20 08:06:03.000 AM EDT

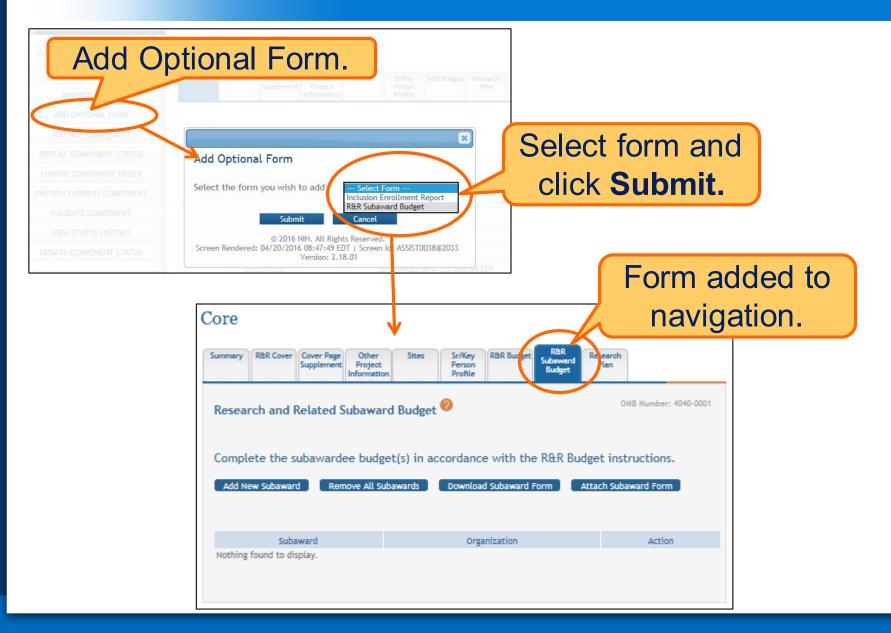
Entering Application Data



Data Entry Validation



Adding Optional Forms



- Overall
 - Describe the entire application
 - Always completed with applicant organization information
- All Other Components
 - Reflect the activity in the specific component
 - Completed from the perspective of organization leading the component



- ASSIST screen tips
 - Found at the top of many data entry screens
- Application Guide
- Annotated form sets
 - <u>http://grants.nih.gov/grants/how-to-apply-application-guide/resources/annotated-form-sets.htm</u>



PHS 398 Research Plan

Required for all components. Limited to 1 page.

components: Required if Human Subjects is Yes.

funding opportunity announcement.

Limited to 1 page. Overall: Required for resubmission and revision applications. Other

components: optional unless otherwise stated in funding opportunity announcement.

announcement for Overall and component page limits. Typically 6, 12 or 30 pages.

FORMS-D: Rule change. Overall: Optional unless otherwise stated in FOA. Other

FORMS-D: Rule change. Overall: Optional unless otherwise stated in FOA. Other

components: Required if Human Subjects is Yes and exemption number is not 4.

FORMS-D: Rule change. Overall: Optional unless otherwise stated in FOA. Other

components: Required if Human Subjects is Yes and exemption number is not 4.

FORMS-D: New attachment. Overall: Optional unless otherwise stated in FOA. Other

components: Required if Clinical Trials is Yes on the PHS 398 Cover Page Supplement.

Choice to include in Overall, other components or both unless noted otherwise in the

Required for all components. See Section IV of the funding opportunity

OMB Number: 0925-0001 Expiration Date: 10/31/2018

rm.

Delete Attachment View Attachment

Introduction

 Introduction to Application (Resubmission and Revision)

Research Plan Section

2. Specific Aims

3. *Research Strategy

4. Progress Report Publication List

Human Subjects Section

	г
Protection of Human Subjects	

6. Data Safety Monitoring Plan

7. Inclusion of Women and Minorities

8. Inclusion of Children

Other	Research	Plan	Section
C	1 Cocaron	1 19411	000000

Add Attachments

Other Research Flan Section		
9. Vertebrate Animals	FORMS-D: Rule change. Overall: Not collected. Other components: Required if Vertebrate Animals is Yes on the Other Project Information form.	
10. Select Agent Research	Choice to include in Overall, other components or both unless noted otherwise in the funding opportunity announcement.	
11. Multiple PD/PI Leadership Plan	Overall: Required if more than one PD/PI is specified on R&R Sr/Key Person Profile f	оп
12. Consortium/Contractual Arrangements	Choice to include in Overall, other components or both unless noted otherwise in the funding opportunity announcement.	
13. Letters of Support	Choice to include in Overall, other components or both unless noted otherwise in the funding opportunity announcement.	
14. Resource Sharing Plan(s)	Choice to include in Overall, other components or both unless noted otherwise in the funding opportunity announcement.	
 15. Authentication of Key Biological and/or Chemical Resources	FORMS-D: New attachment. Overall: Optional unless otherwise stated in FOA. Other components: Required if project involves key biological and/or chemical resources. Recommend 1 page, but no system validation enforcement of page limit.	
Appendix		

16. Appendix

Delete Attachments View Attachments

Allows for up to 10 appendices. See Application Guide and announcement for restrictions.

Appendices are stored separately in the eRA Commons (not as part of the application image) and are accessible to appropriate Agency staff and peer reviewers.

DO NOT use Appendix attachments to circumvent page limits in other sections of the application. Such actions will be noted at time of review. See NIH Guide notice NOT-OD-11-080.

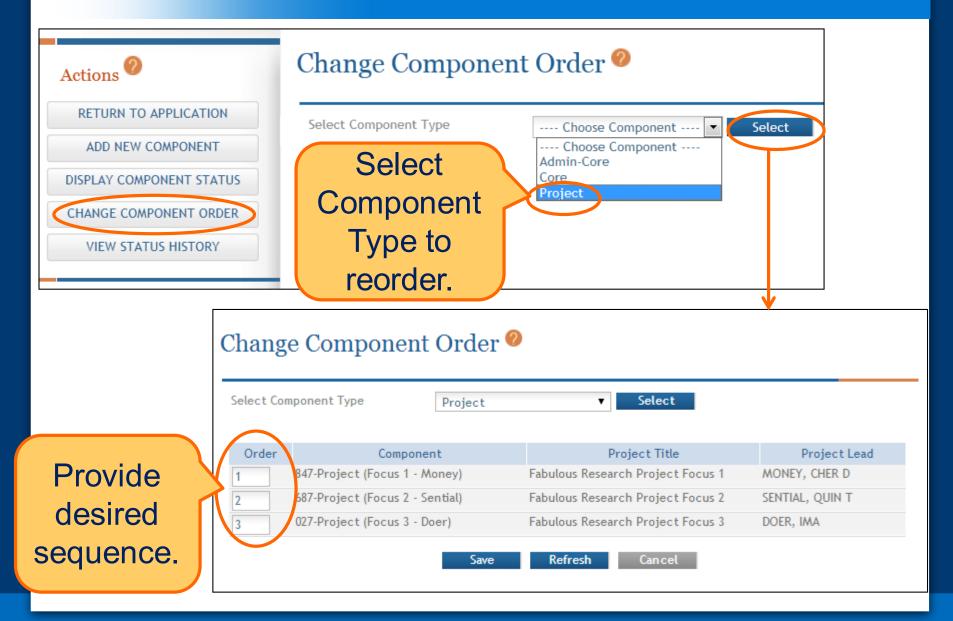
Annotated Form Set

Great resource to help you navigate system enforced form requirements. As component data is entered several actions are available:

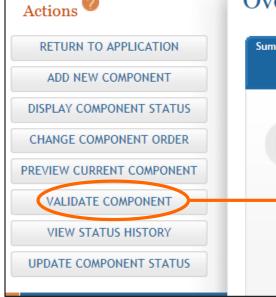
- Change Component Order
- Validate Component
- Preview Current Component
- Update Component Status
 - Work In Progress only status that allows editing
 - Complete component data entry is complete
 - Final component has been reviewed by applicant organization and incorporated into the application



Change Component Order



Validating a Component



Errors and Warnings are displayed.

Overall Component Component Errors and Warnings Results @

Component Information

Component Identifier:	Overall
Component Type:	Overall Component
Component Title:	Research Center to Cure All the Diseases of the World
Component Project Lead(s):	MONEY, CHER D ROUND, BEN A
Organization:	WHATSAMATTA U

Total Errors to be corrected before the application can be submitted:	2
Total Warnings to be reviewed and corrected based on applicant discretion:	1

Errors

Component ID & Title	Form Name	Error Message
Overall	Sr/Key Person Profile	The eRA Commons Username has not been specified in the "Credential" field on the Senior/Key Person page for PD/PI Ben Round (005.48.1)
Overall	Research Plan	The Research_Strategy.docx attachment is not in PDF format. All attachments must be provided to the agency in PDF format with a .pdf extension. (000.8)

Warnings

Component ID & Title	Form Name	Warning Message
Overall	· · · · · · · · · · · · · · · · · · ·	In most cases, a Bibliography and References Cited attachment should be included. (004.22.1)



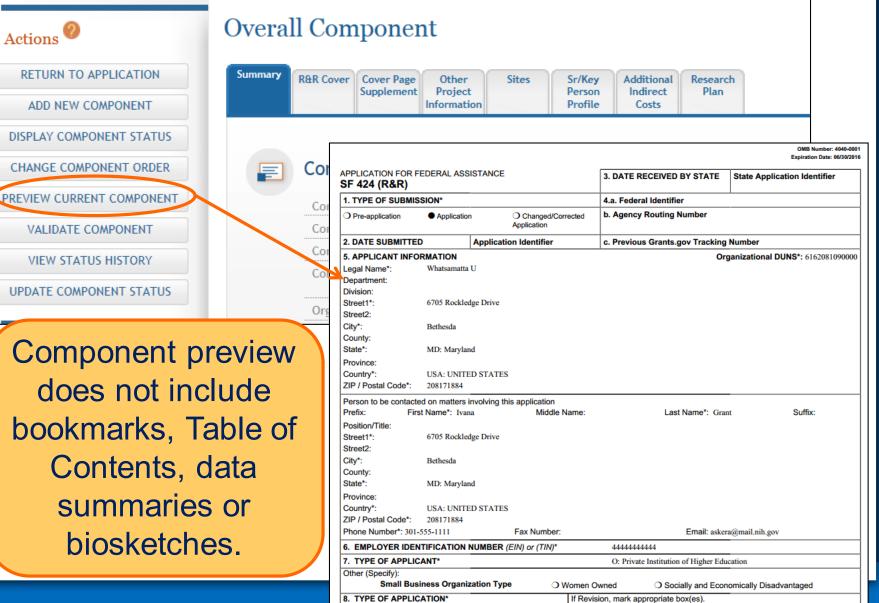
Errors stop application submission and processing and must be corrected before the due date

Can't submit until error-free

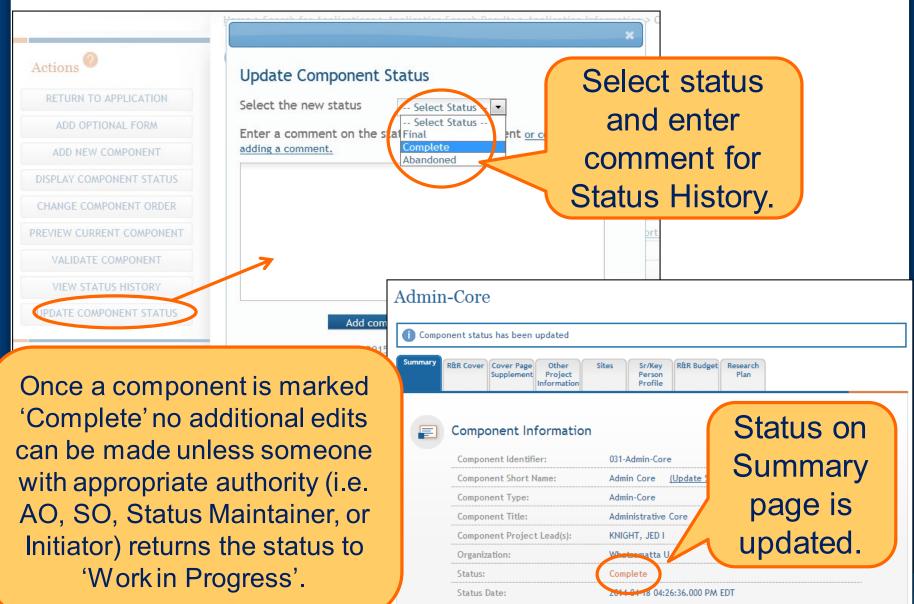


Warnings do not stop application submission or processing and are corrected at the discretion of the applicant before the due date

Previewing a Component



Updating Component Status to Complete



Finalize Application & Prepare for Submission

Enter

Data

Finalize

Submit

Build

Геат

Find

Plan

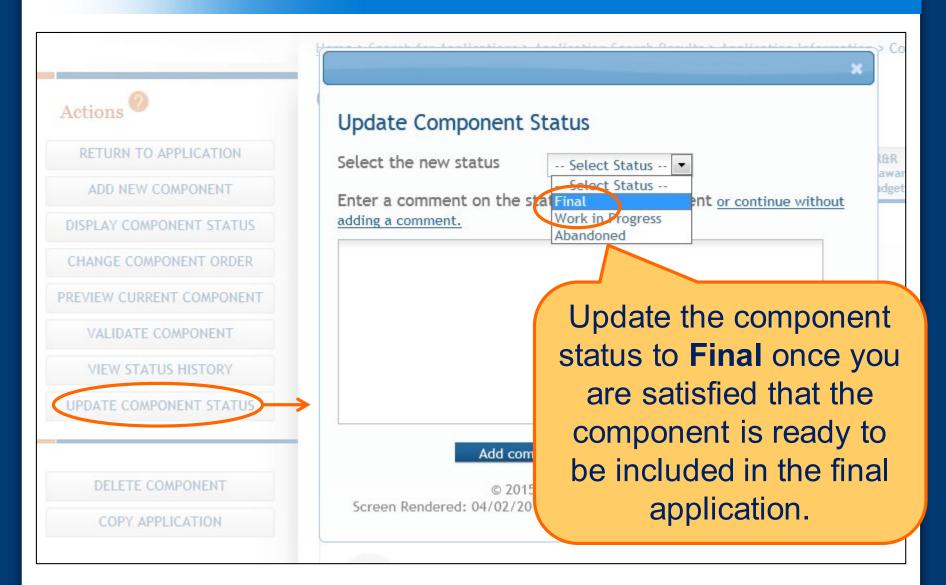
Initiate

Track

- As components are marked 'Complete', the applicant organization can preview them and incorporate those that are ready into the final application by updating the component status to 'Final'
 - Applicant organization AOs, SOs, users with Status Maintainer authority and application initiators have option to mark a component 'Final' directly from 'Work in Progress'
- All components must be marked 'Final' before an application can be prepared for submission

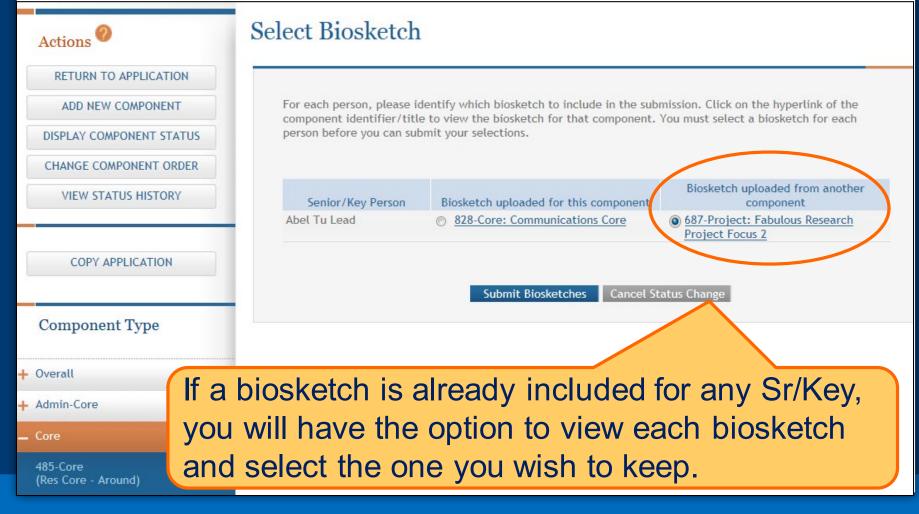


Finalizing Components – Component Status

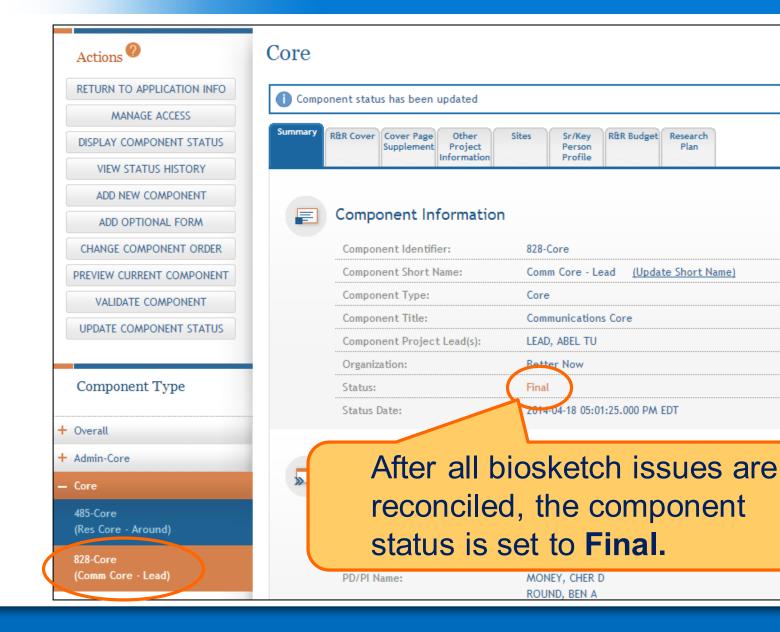


Finalizing Components - Biosketch

ASSIST will check to ensure that only one biosketch is included for every Senior/Key person in the application.



Finalizing Components – Final Status



Display Component Status

Provides all application/component status on a single screen

Actions A RETURN TO APPLICATION ADD NEW COMPONENT DISPLAY COMPONENT STATUS CHANGE COMPONENT ORDER VIEW STATUS HISTORY COPY APPLICATION COPY APPLICATION Component Type

+ Admin-Core

+ Core

Project

847-Project (Focus 1 - Money)

687-Project (Focus 2 - Sential)

027-Project (Focus 3 - Doer)

Application Status @

To update a status start by selecting the Update Submission Status button in the left column from:
 Any form in an Application for the Application
 Component Summary for a Component

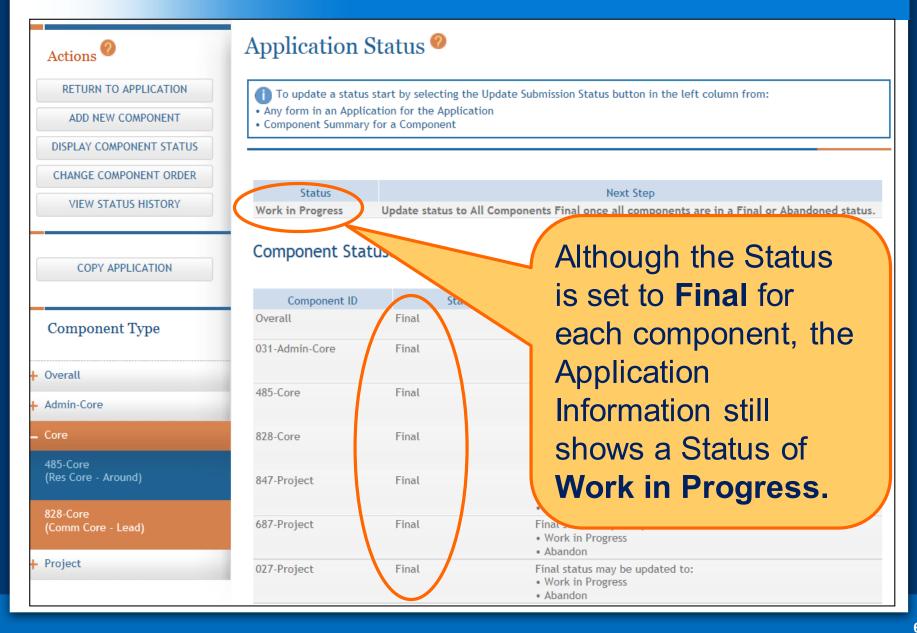
Status	Next Step
Work in Progress	Update status to All Components Final once all components are in a Final or Abandoned status.

Component Statuses

1 - 7 of 7 records, Page 1 of 1

Component ID	Status	Next Steps
Overall	Work in Progress	Work in progress status may be updated to: • Complete • Final
031-Admin-Core	Work in Progress	Work in progress status may be updated to: • Complete • Final • Abandon
485-Core	Complete	Complete status may be updated to: • Final • Work in progress • Abandon
828-Core	Work in Progress	Work in progress status may be updated to: • Complete • Final • Abandon
847-Project	Final	Final status may be updated to: • Work in Progress • Abandon
687-Project	Final	Final status may be updated to: • Work in Progress • Abandon
027-Project	Final	Final status may be updated to: • Work in Progress • Abandon

Updating Application Status

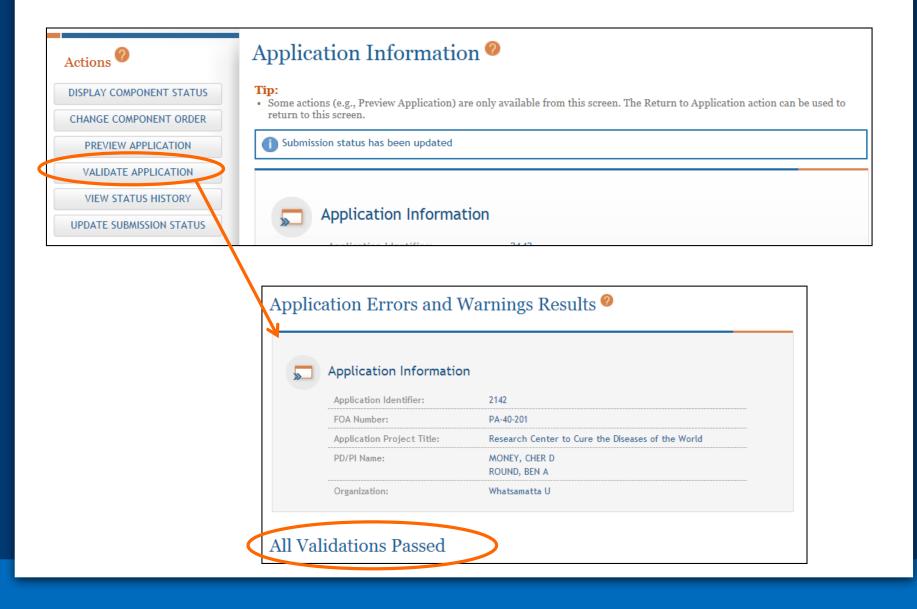


- Work In Progress Allows editing
- All Components Final Can only be updated once each component status is Final
- **Ready for Submission** Before status is changed, the system does a final validation check on your application
- Submitted Automatically set after submitting to Grants.gov

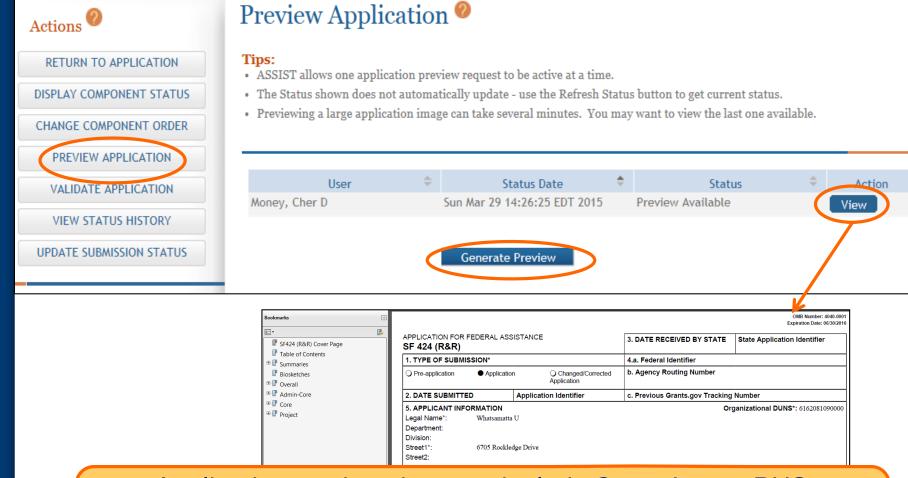
Before changing your Submission Status, you may want to take another look at your application since you need to be in Work In Progress status to make any changes.



Validate Application



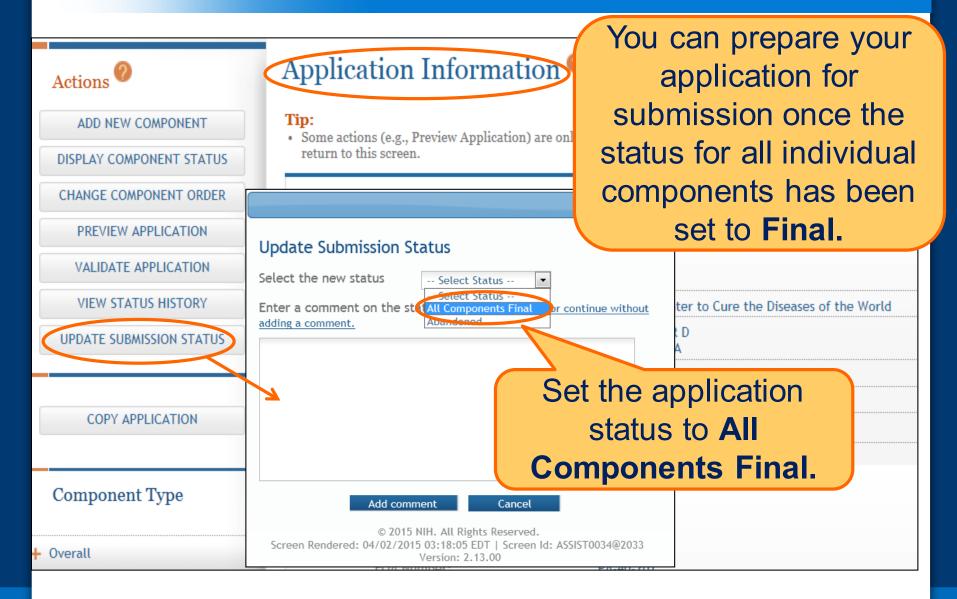
Preview Application



Application preview does not include Cover Letter, PHS Assignment Request Form or Appendices which are maintained separately post-submission. If you like what you see, then it's time to run through your internal approval process and take the final steps to prepare for submission.



All Components Final



Ready for Submission

Once all internal reviews are complete, update the application status to **Ready for Submission**.

Application Information @

Tip:

Actions 🖉

ADD NEW COMPONENT

DISPLAY COMPONENT STATUS

 Some actions (e.g., Preview Application) are only available from this screen. The Return to Application action return to this screen.

CHANGE COMPONENT ORDER	*	
PREVIEW APPLICATION	Update Submission Status	
VALIDATE APPLICATION	Select the new status Select Status	
VIEW STATUS HISTORY	Select Status Enter a comment on the st. Ready for Submission or continue without adding a comment. Work in Progress	to Cure the Diseases of the World
UPDATE SUBMISSION STATUS	Abandoned	
		31/2020
COPY APPLICATION		Submit Application
		:45.000 PM EDT
Component Type	Add comment Cancel	
+ Overall	© 2015 NIH. All Rights Reserved. Screen Rendered: 04/02/2015 03:37:57 EDT Screen Id: ASSIST0034@2033 Version: 2.13.00	
	EOA Number: PA-40-201	

Final Check for Errors

Before an application is changed to Ready for Submission status, it must pass validations (Warnings are OK).

Enter adding	the new status Ready for Submission V	7
	Oops! We found some errors in your application and can't put it in Ready for Submission status yet. Please correct the errors and try again.	
	Ok	4



Submit Your Application

Enter

Data

Finalize

Build

Team

Plan

Initiate

Track

Submit

Error-free submission must be made by 5:00 p.m. local time (of submitting organization) on due date

- It takes time to prepare your application for submission
- Submit early (days, not minutes) to have time to address any unforeseen issues and to view your assembled application



Submit Your Application - Status

Must be a Signing Official (SO) in eRA Commons and an Authorized Organizational Representative (AOR) in Grants.gov to submit.

Home > Search for Applications > Application Search Results > Application Information

Application Information 🤗

Tip:

Actions

MANAGE ACCESS

DISPLAY COMPONENT STATUS

CHANGE COMPONENT ORDER

PREVIEW APPLICATION

VALIDATE APPLICATION

VIEW STATUS HISTORY

UPDATE SUBMISSION STATUS

COPY APPLICATION

DELETE APPLICATION

 Some actions (e.g., Preview Application) are only available from return to this screen.

Application Information

Application Identifier:	2142
Application Project Title:	Research Ce
PD/PI Name:	MONEY, CHI ROUND, BEN
Organization:	Whatsamatt
Project Period:	01/01/2016
Status:	Ready for Su
Status Date:	2015-04-02

Application Status must be set to **Ready for Submission.**

Username: PENNYWISE

Ready for Submission C Submit Application

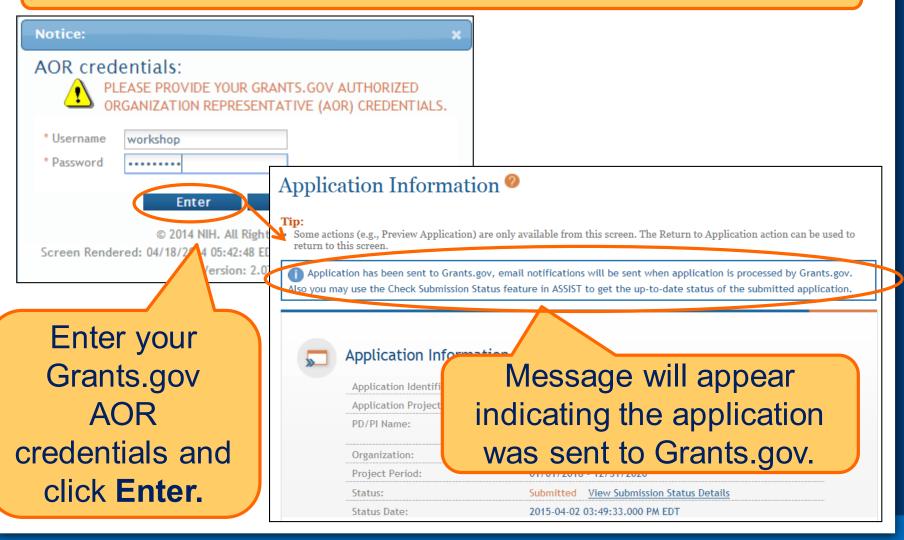
ta U

2015-04-02 03:40:16.000 PM EDT

- 12/31/2020

Submit Your Application - Credentials

Applications are submitted from ASSIST to Grants.gov.



Track Your Application

Enter

Data

Finalize

Build

Team

Find

Plan

Initiate

Track

Submit

ASSIST sends out quite a few email notifications throughout the preparation and submission process to help you track your application

 Application access changes, component/application updates, component/application status changes, submission status updates and more

Check out this resource:

http://grants.nih.gov/grants/electronicreceipt/files/ASSIST_eNotifications.pdf

ASSIST provides the ability to track both Grants.gov and NIH status

 Links to the eRA Commons Detailed Status Information to view your assembled application



Tracking Submission Status – View Status

After submitting to Grants.gov, submission status can be tracked in ASSIST.

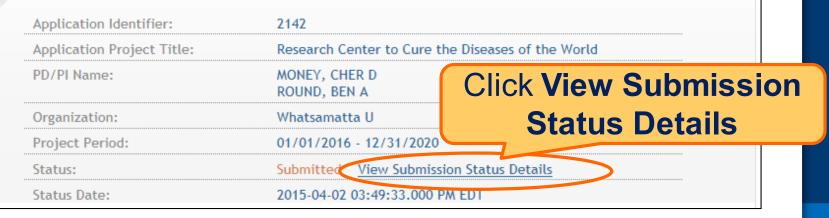
Application Information

Tip:

• Some actions (e.g., Preview Application) are only available from this screen. The Return to Application action can be used to return to this screen.

Application has been sent to Grants.gov, email notifications will be sent when application is processed by Grants.gov. Also you may use the Check Submission Status feature in ASSIST to get the up-to-date status of the submitted application.

Application Information



Tracking Submission Status – Check for Status

Updates

Top of screen

Application Information 🥝

You must click Check for Status Updates to force ASSIST to poll Grants.gov and NIH for status. **Tip:** When an error-free application is received at NIH the processing status should display as follows: ASSIST = Submitted

Grants.gov = Agency Tracking Number Assigned

Agency = Processed

llowing the hyperlinked Agency Tracking # in the Agency section of the screen brings users with appropriate thority directly to the eRA Commons Detailed Status screen from which they can view the assembled e-Application age and associated documents for the submission.

Application Identifier:	2142
OA Number:	PA-40-201
roject Title:	Research Center to Cure the Diseases of the World
D/PI Name:	MONEY, CHER D
anization:	Whatsamatta U
ts.gov Tracking #:	GRANT00607098
tatus Check:	Thu Apr 02 15:56:29 EDT 2015
Check for Status Updates	

1 Updates to status detected. Refer below for details on update.

ASSIST

Submission Date:

Submitting AOR:

ASSIST Submission Status:

Submission Status Date:

ASSIST will indicate if a status change was detected.

Thu Apr 02 15:49:33 EDT 2015

Tracking Submission Status – Agency Tracking

Check for Status Updates

Updates to status detected. Refer below for details on update.

ASSIST

Submission Date:	Thu Apr 02 15:49:11 EDT 2015
Submitting AOR:	Workshop Participant
ASSIST Submission Status:	Submitted
Submission Status Date:	Thu Apr 02 15:49:33 EDT 2015
Grants.gov	
Grant.gov Tracking #:	GRANT00607098

Grants.gov Received Date:

Grants.gov Processing Status:

Grants.gov Status Date:

GRANT00607098 Thu Apr 02 15:49:29 EDT 2015 Agency Tracking Number Assigned Thu Apr 02 15:55:44 EDT 2015 ASSIST, Grants.gov and Agency submission status are available.

Grants.gov status of Agency Tracking Number Assigned and Agency status of Processed is good news!

Agency Tracking # link brings you to the detailed status screen in eRA Commons

Agency

View Agency Submission Errors and Warnings Agency Tracking #: 369013

. . .

Agency Status:

Agency Status Date:



Close

Viewing Your Application in Commons

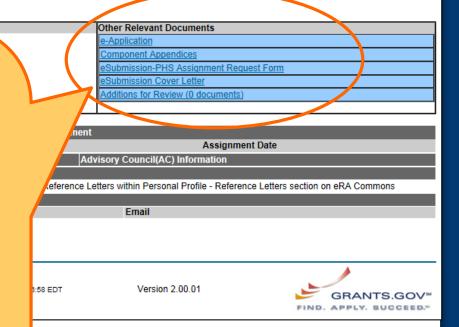
Status Information @

General Grant

* E A F T C A

Status: eApplication is the assembled application image reviewers and staff will see - check it carefully.

You will also want to check the Cover Letter, PHS **Assignment Request Form** and **Component** Appendices which are stored separate from the image.



Applicants have two (2) business days to view the assembled application image in eRA Commons before it automatically moves forward to NIH staff for further processing.

If you can't **VIEW** it, we can't **REVIEW** it!



Viewing Your Application in Commons - Review

Bookmarks						OMB Number: 4040-0001 Expiration Date: 06/30/2016			
	APPLICATION FOR FI	EDERAL ASSI	STANCE		3. DATE RECEIVED BY STATE	State Application Identifier			
SF424 (R&R) Cover Page	1. TYPE OF SUBMIS	SION*			4.a. Federal Identifier				
Table of Contents Summaries	O Pre-application	Application O Changed/Corrected Application			b. Agency Routing Number				
Component Summary	2. DATE SUBMITTED	b	Applicatio	on Identifier	c. Previous Grants.gov Tracking	Number			
 Performance Sites Summary Human Subjects - Clinical Trial - HESC - Vertebrate Animals Summary Composite Application Budget Summary Component Budget Summary Categories Budget Summary 	5. APPLICANT INFO Legal Name*: Department: Division: Street1*: Street2: City*: County: State*: Province: Country*: ZIP / Postal Code*:		y ge Drive		, , , , , , , , , , , , , , , , , , ,	ganizational DUNS*: 6162081090000			
Senior/Key personnel Summary	Person to be contacte	ed on matters in at Name*: Ivana		s application Middle Name:	Last Name*: Gra	nt Suffix:			
Biosketches Overall Admin-Core Core Core Core Core Core-001 (485)	Position/Title: Street1*: Street2: City*: County: State*:	6705 Rockleda Bethesda MD: Maryland	-						
Core-002 (828) Project Project-001 (847) Project-002 (687) ect-003 (027) formance Sites	Province: Country*: ZIP / Postal Code*: Phone Number*: 301-: 6. EMPLOYER IDEN 7. TYPE OF APPLIC	USA: UNITE 208171884 555-1111 ITIFICATION N	D STATES	Fax Number: EIN) or (TIN)*	Email: asker 444444444 O: Private Institution of Higher Edu	ra@mail.nih.gov			

It is your responsibility to carefully review the entire application to ensure it has been processed correctly!

Automatic Data Summaries

90

Component Summary																	
Components	Component Project	Title Or	rganizatio	n Name	Contact PD or Project L	/PI Name _ead Name											
Overall	Research Center to O Diseases of the Worl		/hatsamatta	a U	1	Money, Che	er D										
Admin-Core-001 (031)	Administrative Core	w	/hatsamatta	ta U					Project/Performance Site Location(s) Summary								
Core-001 (485)	Research Core	w	/hatsamatta	a U						Site Et	seation(s) su	lilling					
Core-002 (828)	Communications Cor	e Be	etter Now			Applica				City		State/Pro	vince	Cou	Intry		
Project-001 (847)	Fabulous Research F	Project Focus 1 W	/hatsamatta	a U		Organi	zation										
Project-002 (687)	Fabulous Research F	Project Focus 2 Be	etter Now			Whatsa	amatta U			Bethesda		MD		UN	ITED STATES		
Project-003 (027)	Fabulous Research F	Project Focus 3 Cu	ures R Us														
Organiz						ion Name		City		5	state/Provinc	e Co	ountry		Component		
					Better Now Bethe			Bethesda		MD		U	UNITED STATES		Core-002 (828)		
		Human	Subjects			I				1	ND	U	NITED STATI	ES	Project-002 (687)	Project-002 (687)	
		Clinica	al Trial	Collo						1	ND	U	NITED STATI	ES	Project-003 (027)		
		Human Embryo Vertebrate	e Animals						1	MD		NITED STATI	ES	Admin-Core-001 (03	31)		
		Sum	mary							1	MD		NITED STATI	ES	Core-001 (485)		
Components		Human Subjects	Clinic	al Trial	HESC Invo	olved	Vertebrate	Animals		1	MD		NITED STATI	ES	Overall		
Overall		Y	N		N		Y			1	MD	U	NITED STATI	ES	Project-001 (847)		
Admin-Core-001 (031)		N	N		1				Senior	Key Perso	nnel						
Core-001 (485)		N	N						5	Summary							
Core-002 (828)		N	N	Name			Organi	zation			Role on Pro	oject	Com	poner	nts		
Project-001 (847)		Y	Ν	Money, Che	er D		Whatsa	imatta U			PD/PI(Cont	act)	Over	all			
Project-002 (687)		N	N	Round, Ber	A		Whatsa	matta U			PD/PI(MPI)		Over	rall			
Project-003 (027)		Ν	Ν	Dish, Pete I	Ree		Whatsa	imatta U			Faculty		Proje	ect-001	1 (847)		
				Doer, Ima Cures R Us							Other: Proje	ect Lead	Proje	ect-003	3 (027)		
				Dote, Ann 1	r		Cures	RUs			Post Doctor	al Scholar	Proje	ect-003	3 (027)		
				Knight, Jed	I		Whatsa	matta U			Other: Proje	ect Lead	Admi	in-Con	e-001 (031)		
				Kur, Bea			Better	Now			Faculty		Proje	ect-002	2 (687)		
				Lead Abel	Ти		Better	Vow			Other: Proje	ect Lead	Core	-002 (828)		

Categories	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	TOTALS
Salary, Wages and Fringe Benefits	414,313	414,313	414,313	414,313	414,313	2,071,565
Equipment	58,000	6,000	6,000	6,000	6,000	82,000
Travel	5,000	5,000	5,000	5,000	5,000	25,000
Participant/Trainee Support Costs	0	0	0	0	0	0
Other Direct Costs (excluding Consortium)	87,500	87,500	87,500	87,500	87,500	437,500
Consortium Costs	1,580,755	1,360,755	1,360,755	1,360,755	1,360,755	7,023,775
Direct Costs	2,145,568	1,873,568	1,873,568	1,873,568	1,873,568	9,639,840
Indirect Costs	275,000	275,000	275,000	275,000	275,000	1,375,000
Total Direct and Indirect Costs	2,420,568	2,148,568	2,148,568	2,148,568	2,148,568	11,014,840

Composite Application Budget Summary

Total Direct Costs less Consortium F&A

NIH policy (NOT-OD-05-004) allows applicants to exclude consortium/contractual F&A costs when determining if an application falls at or beneath any applicable direct cost limit. When a direct cost limit is specified in an FOA, the following table can be used to determine if your application falls within that limit.

• •		•		•	Budget Period 5	TOTALS
Total Direct Costs less Consortium F&A	1,665,568	1,393,568	1,393,568	1,393,568	1,393,568	7,239,840

The DUNS numbers on budget forms used to differentiate between applicant organization and subaward costs.

Automatic Data Summaries Part 2

	Component Budget Summary											
		Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	TOTALS					
Admin-Core-001 (031)	Salary, Wages and Fringe Benefits	91,000	91,000	91,000	91,000	91,000	455,000					
	Equipment	6,000	6,000	6,000	6,000	6,000	30,000					
	Travel	1,000	1,000	1,000	1,000	1,000	5,000					
	Participant/Trainee Support Costs	0	0	0	0	0	0					
	Other Direct Costs (excluding Consortium)	56,500	56,500	56,500	56,500	56,500	282,500					
	Consortium Costs	0	0	0	0	0	0					
	Direct Costs	154,500	154,500	154,500	154,500	154,500	772,500					
	Indirect Costs	75,000	75,000	75,000	75,000	75,000	375,000					
TOTALS	Total Direct and Indirect Costs	229,500	229,500	229,500	229,500	229,500	1,147,500					
Core-001 (485)	Salary, Wages and Fringe Benefits	171,333	171,333	171,333	171,333	171,333	856,665					

Categories Budget Summary											
Categories	Components	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	TOTALS				
R&R Budget - Senior/Key Person Funds Requested	Admin-Core-001 (031)	47,000	47,000	47,000	47,000	47,000	235,000				
	Core-001 (485)	101,333	101,333	101,333	101,333	101,333	506,665				
	Core-002 (828)	97,000	97,000	97,000	97,000	97,000	485,000				
	Project-001 (847)	90,833	90,833	90,833	90,833	90,833	454,165				
	Project-002 (687)	150,000	150,000	150,000	150,000	150,000	750,000				
	Project-003 (027)	143,050	143,050	143,050	143,050	143,050	715,250				
TOTALS		629,216	629,216	629,216	629,216	629,216	3,146,080				
R&R Budget - Other Personnel Funds Requested	Admin-Core-001 (031)	44,000	44,000	44,000	44,000	44,000	220,000				

SO can Reject application in eRA Commons within viewing window and submit a Changed/Corrected application prior to the due date

Action cannot be done within ASSIST

 U.S	. Department of H	ealth & Huma	n Services					🔉 www.hhs.gov		
e RA	Welcome: Penny Wise ID: PENNYWISE Institution: WHATSAMATTA U Roles: SO Logeut Contact Us Help									
Home Ad	min Institution Pr	ofile Persona	al Profile Status	RPPR	xTrain	Admin Supp	eRA Partners			
Tips and Not	Status Result - Recent/Pending eSubmissions Search									
								🖾 1-1 of 1 1 ᠌		
Application ID	Grants.gov Tracking #	eSubmission Status	Proposal Title	PD/PI Name 븆	e Subm Status		Show All Prior E	rrors Action		
<u>AN:3690139</u>	GRANT00607098	Pending Verification	Research Center to Cure the Diseases of the World	MONEY, CHER D	2015-04 15:49:47	Sh	ow Prior Errors and	Transmittal Sheet Warpings Reject eApplication		

If no action is taken to reject the application during the two business day viewing window, the application automatically moves forward to NIH for further processing.





Dealing with System Issues



Follow NIH's standard 'system issue' procedure if you run into problems beyond your control that threaten your on-time submission: http://grants.nih.gov/grants/ElectronicReceipt/support.htm#guidelines You can easily make a copy of your application to:

- Work on a Resubmission application
- Move data to a different opportunity
- Move data to a different version of forms during a form update (e.g., FORMS-C to D)
- Take a snapshot, before heading in a different direction



Copy Application

Provide target FOA number.

Copy Application 🤗

Tips:

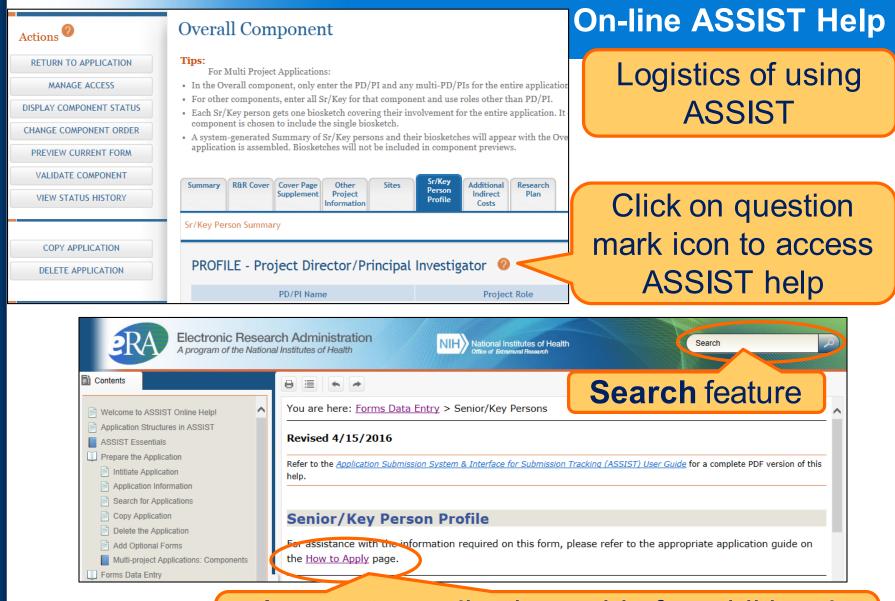
- Copy Application copies free-text form fields only (not attachments).
- A 'best effort' copy is done. Carefully review all aspects of the copied application for completeness.

Copy as much information as possible from the following PA-DD-201 Copy Application Clear application using Funding Opportunity Announcement # (Example: PA-00-000) Application to be Copied: 4011 Application Title: Sheri's Multi-project FORMS-D Demo App - no errors Organization: Whatsamatta U PD/PI Name: Money, Cher D Select target Round, Ben A Status : Work in Progress component PD/PI or Copy To Component Component Lead Copy from Component Project Title Status Туре type for each Sheri's Multi-project FORMS-D Demo Overall Work in Progress Money, Cher D Overall App - no errors Round, Ben A Do Not Conv 402-Admin-Core (Admin Administrative Core Work in Progress Knight, Jed I Admin-Core component. Core) COLE 958-Core (Res Core -Research Core Work in Progress Round, Ben A Project Around) 887-Core (Comm Core - Communications Core Work in Progress Lead, Abel Tu Do Not Copy 🗸 Lead) 048-Project (Focus 1 -Fabulous Research Project Focus 1 Work in Progress Money, Cher D Do Not Copy 🗸 Money) 086-Project (Focus 2 -Fabulous Research Project Focus 2 Work in Progress Sential, Quin T Do Not Copy 🗸 Sential) 602-Project (Focus 3 -Fabulous Research Project Focus 3 Work in Progress Check box if you want Doer) to copy attachments. Would you like the attachments to be copied to the new application?

Cancel

Copy Selected Components

ASSISTance



Access to application guide for additional guidance on field content information

Links & Resources

- ASSIST: <u>public.era.nih.gov/assist</u>
- Online help: <u>era.nih.gov/erahelp/ASSIST/</u>
- How to Apply Application Guide
 http://grants.nih.gov/grants/how-to-apply-application-guide.htm
- Annotated form set
 <u>http://grants.nih.gov/grants/how-to-apply-application-guide/resources/annotated-form-sets.htm</u>
- eRAASSIST Training page: <u>http://era.nih.gov/era_training/assist.cfm</u>





Service Desk

eRA Service Desk

Web: http://grants.nih.gov/support/ Toll-free: 1-866-504-9552 Phone: 301-402-7469 Hours: Mon-Fri, 7a.m. to 8 p.m. Eastern Time (Except for Federal holidays)

Although we've worked closely with Grants.gov, ASSIST is a system developed and managed by NIH. The eRA Service Desk should be your first stop for support.





